

Form PTO-1449

Applicant: Ron McCabe et al.
 Serial No.: 09/438,184
 Filed: November 11, 1999
 For: FLEXIBLE REMOTE DATA MIRRORING



#3

Sheet 1 of 3

Att'y Docket No. 1735.2.2
 Group: _____

LIST OF REFERENCES CITED BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>[Signature]</u>	A1	5,993,653	08/03/99	Ofek	395	827 05/31/96
<u>[Signature]</u>	A2	5,835,953	11/10/98	Ohran	711	162 11/08/96
<u>[Signature]</u>	A3	5,812,748	09/22/98	Ohran et al.	395	182.02 05/16/95
<u>[Signature]</u>	A4	5,748,870	05/05/98	Tims et al.	395	182.02 04/07/93
<u>[Signature]</u>	A5	5,742,792	04/21/98	Yanai et al.	395	489 05/28/96
<u>[Signature]</u>	A6	5,633,999	05/27/97	Clowes et al.	395	182.04 12/18/95
<u>[Signature]</u>	A7	5,544,347	08/06/96	Yanai et al.	395	489 04/23/93
<u>[Signature]</u>	A8	5,537,533	07/16/96	Staheli et al.	395	182.03 08/11/94
<u>[Signature]</u>	A9	5,455,932	10/03/95	Major et al.	395	489 10/20/92

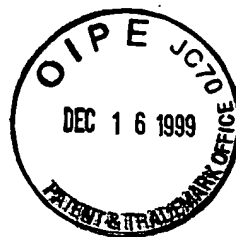
Other Documents

<u>Examiner Initial*</u>	<u>Document Description</u>
<u>[Signature]</u>	A10 "Double-Take; Meeting the New Requirements for Enterprise Data Protection", <u>NSI Software</u> , 1997, pp. 1-16.
<u>[Signature]</u>	A11 "EN-8800 SCSI Disk Array Controller", no later than 8/16/99, pp. 1-2.
<u>[Signature]</u>	A12 "FrameRunner", <u>StorageTek</u> , March 16, 1999, pp. 1-5.

Examiner: 8/28/02 D. D. M. H. Date Considered: 8/28/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Ron McCabe et al.
 Serial No.: 09/438,184
 Filed: November 11, 1999
 For: FLEXIBLE REMOTE DATA MIRRORING



Att'y Docket No. 1735.2.2
 Group: _____

- JS* A13 "FreeBSD; What is FreeBSD", FreeBSD Inc., 1995-1999, pp. 1-2.
- o* A14 "High-Speed Connectivity Between SCSI-Based Systems and Peripherals", General Signal Networks, no later than 8/16/99, pp. 1-2.
- ✓* A15 "Nonstop Networks; Company Profile", no later than 04/08/1999, pp. 1-14.
- ~* A16 "Nonstop Networks; SFT III vs Mirroring Utilities", Nonstop Networks Limited, 1991-1996, pp. 1-6.
- JS* A17 "Forgiving Network Faults", NetworkWorld, 1995, pp. 1-3.
- JS* A18 "Nonstop Networks Limited - Press Release", March 4, 1996, pp. 1-2.
- a* A19 "Nonstop Networks Ltd.: No*Stop Network", PC Magazine, May 14, 1996, pp. 1-5.
- JS* A20 "The Official SCSI FAQ", Editor Gary Field, July 27, 1999, pp. 1-99.
- JS* A21 "QLA1040 Ultra Wide", Qlogic Corporation, July 29, 1997, pp. 1-11.
- JS* A22 "Question: Is it possible for two computers to access the same SCSI disks?", no later than July 27, 1999, pp. 1-2.
- JS* A23 "RAID Defined", Microsynergy, 1997-99, pp. 1-8.
- JS* A24 "RAID Lite", ANDATAACO, 1994-1996, pp. 1-2.
- JS* A25 "Remote SHADOW", March 23, 1999, pp. 1-3.
- JS* A26 "USB Info: Frequently Asked Questions", no later than 10/20/99, pp. 1-2.
- JS* A27 "Technology; Fibre Channel Overview", no later than 10/20/1999, p. 1.
- JS* A28 "Storage Area Network-Based Backup--Why Buy Now?", R. Gray and P. Mason, International Data Corporation, 1999, pp. 1-12.
- JS* A29 "Ultra SCSI Family Comparison and tech specs", July 16 1999, pp. 1-3.

Examiner:

D. Dirm

Date Considered:

2/28/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Ron McCabe et al.
Serial No.: 09/438,184
Filed: November 11, 1999
For: FLEXIBLE REMOTE DATA MIRRORING



Sheet 3 of 3

Att'y Docket No. 1735.2.2
Group: _____

- ✓ A30 "Volume Shadowing for OpenVMS", November 1996, pp. 1-14.
✓ A31 "Write-back cache", ZDNET, November 1999, p. 1.

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. 1.97 through 1.99. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

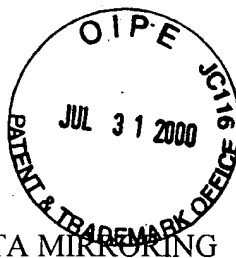
Examiner: Q DINH

Date Considered: 8/28/01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Ron McCabe et al.
Serial No.: 09/438,184
Filed: November 11, 1999
For: FLEXIBLE REMOTE DATA MIRRORING



Sheet 1 of 1

Att'y Docket No. 1735.2.2
Group: 2756

RECEIVED

LIST OF REFERENCES CITED BY APPLICANT

SEP 11 2000

GROUP 2700

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>S</u> A1	5,901,327	05/04/99	Ofek	395	825	03/17/97
<u>S</u> A2	5,745,748	04/28/98	Ahmad et al.	395	610	12/09/94
<u>S</u> A3	4,982,324	01/01/91	McConaughy et al.	364	200	12/19/88

Other Documents

<u>Examiner Initial*</u>	<u>Document Description</u>
<u>S</u> A4	PCT Search Report, 19 July 2000

Examiner:

R. D. M.

Date Considered:

8/31/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Ron McCabe et al.

Serial No.:

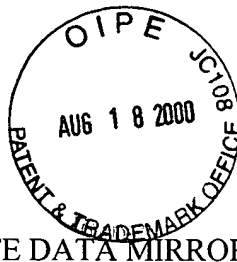
09/438,184

Filed:

November 11, 1999

For:

FLEXIBLE REMOTE DATA MIRRORING



Sheet 1 of 1

RECEIVED

Att'y Docket No. 1735.2.2

Group: 2756 AUG 25 2000

TECH CENTER 2700

LIST OF REFERENCES CITED BY APPLICANT

Non-patent Document

RECEIVED
AUG 24 2000
TECHNOLOGY CENTER 2800

Examiner

Document

Initial*

Description

J

A1

"Proposals Seek to Move SANs Off Fibre Channel", TechWeb, 5/25/00

Examiner:

D. DINK

Date Considered:

8/22/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants: Ron McCabe et al.

Serial No.: 09/438,184

Att'y Docket No. 1735.2.2

Filed: November 11, 1999

Group: 2153

FLEXIBLE REMOTE DATA MIRRORING

LIST OF REFERENCES CITED BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date	
<u>S</u>	A1	6,065,018	05/16/00	Beier et al.	707	202	03/04/98
<u>2</u>	A2	6,052,797	04/18/00	Ofek et al.	714	6	08/20/98
<u>1</u>	A3	5,870,537	02/09/99	Kern et al.	395	182.04	03/13/96
<u>2</u>	A4	5,734,818	03/31/98	Kern et al.	395	182.18	05/10/96
<u>2</u>	A5	5,592,618	01/07/97	Micka et al.	395	182.07	10/03/94
<u>2</u>	A6	5,574,950	11/12/96	Hathorn et al.	395	861	03/01/94

Examiner:

D DIM

Date Considered:

8/30/02

*EXAMINER: Please initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Please include a copy of this form with the next communication to applicant.